



PTO/SB/21 (09-04) (AW 10/2004)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 10

Application Number	10/542,409
Filing Date	July 14, 2005
First Named Inventor	Yumiko Kato, et al.
Art Unit	3713
Examiner Name	To Be Assigned
Attorney Docket No.	MTS-3576US

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
Incomplete Application
 - ☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation,
Change of Correspondence
Address
- ☐ Terminal Disclaimer
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- ☐ CD, Number of CD(s) _____
 - ☐ Landscape Table on CD

- ☐ After Allowance Communication
to TC
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to TC
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Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please
identify below): Request for
Corrected Filing Receipt; Copy
of Filing Receipt; Copy of pages
1 and 2 of Preliminary
Amendment; Copy of
Declaration/Power of Attorney
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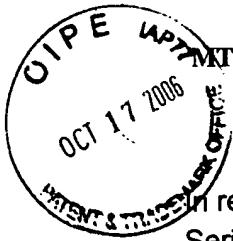
Remarks:**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**Firm Name **BatnerPrestia**Signature Printed Name **Lawrence E. Ashery**Date **October 11, 2006**Registration No. **34,515****CERTIFICATE OF TRANSMISSION / MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature Typed or Printed Name **Donna M. Wellings**Date **October 11, 2006**

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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MTS-3576US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yumiko Kato, et al.

Serial No.: 10/542,409

Group No.: 3713

Filed: July 14, 2005

Examiner: To Be Assigned

For: BROADCAST RECEIVING METHOD, BROADCAST RECEIVING SYSTEM,
RECORDING MEDIUM, AND PROGRAM (as amended)

Filing Receipt Corrections
Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data:

☒ Incorrectly entered *and/or* ☐ omitted

Error in

1. ☐ Applicant's name
2. ☐ Applicant's address
3. ☒ Title (as amended in the Preliminary Amendment dated 07/14/2005)
4. ☐ Filing Date
5. ☐ Serial Number
6. ☐ Foreign/PCT Application Reference
7. ☐ Other

Correct data

- 1.
- 2.
3. BROADCAST RECEIVING METHOD,
BROADCAST RECEIVING SYSTEM,
RECORDING MEDIUM, AND PROGRAM
- 4.
- 5.
- 6.
- 7.

3. No fee is due.

RatnerPrestia
P. O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

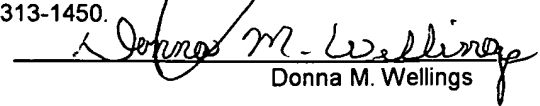
Respectfully submitted,


Lawrence E. Ashery, Reg. No. 34,515**CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Filing Receipt Corrections, Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

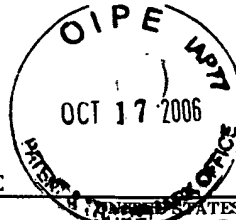
Date: October 11, 2006

Enclosures: Copy/Filing Receipt
Copy/Preliminary Amendment
Copy/ Declaration POA


Donna M. Wellings



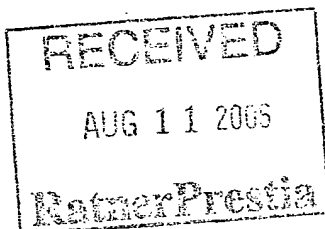
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/542,409	07/14/2005	3713	5010	MTS-3576US	39	10	4

52473
 RATNERPRESTIA
 P.O. BOX 980
 VALLEY FORGE, PA 19482



CONFIRMATION NO. 1733

FILING RECEIPT



OC000000019905923

Date Mailed: 08/07/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Yumiko Kato, Osaka, JAPAN;
 Takahiro Kamai, Kyoto, JAPAN;
 Hideyuki Yoshida, Kyoto, JAPAN;
 Yoshifumi Hirose, Nara, JAPAN;

COPY

Power of Attorney: The patent practitioners associated with Customer Number 52473.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/17015 12/26/2003

Foreign Applications

JAPAN 2003-7442 01/15/2003

If Required, Foreign Filing License Granted: 08/04/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/542,409**

Projected Publication Date: 11/16/2006

Non-Publication Request: No

Early Publication Request: No

Title

Broadcast reception method, broadcast reception system, recording medium and program (as amended)

Preliminary Class

434

Broadcast Receiving Method,
Broadcast Receiving System,
Recording Medium, and Program

COPY

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COPY



Application No.: To Be Assigned

MTS-3576US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

Appln. No: To Be Assigned
Applicants: Yumiko KATO, et al.
Filed: Herewith
Title: BROADCAST RECEIVING METHOD, BROADCAST RECEIVING
SYSTEM, RECORDING MEDIUM, AND PROGRAM (AS AMENDED)
TC/A.U.: To Be Assigned
Examiner: To Be Assigned
Confirmation No.: To Be Assigned
Docket No.: MTS-3576US

PRELIMINARY AMENDMENT

Mail Stop PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination, please amend the above-identified application as follows:

- ☒ **Amendments to the Title** begin on page **2** of this paper.
- ☒ **Amendments to the Specification** begin on page **3** of this paper.
- ☒ **Amendments to the Claims** are reflected in the listing of claims which begins on page **42** of this paper.
- ☒ **Amendments to the Drawings** begin on page **47** of this paper and include an attached replacement sheet(s).
- ☒ **Amendments to the Abstract** are on page **48** of this paper. A clean version of the Abstract is on page **50** of this paper.
- ☒ **Remarks/Arguments** begin on page **49** of this paper.
- ☒ **Please enter the enclosed Article 34 Amendment.**

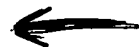
FP_1:\MTS\3576US\PAMEND_01.DOC

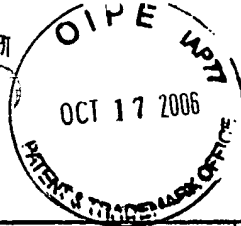
Amendment to the Title:

Please replace the Title with the following:

BROADCAST RECEIVING METHOD, BROADCAST RECEIVING SYSTEM,
RECORDING MEDIUM, AND PROGRAM

COPY





PTO/SB/01, 02 & 04 COMBINED (08-03) AW (10-03)

DECLARATION/ POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION

Attorney Docket Number:

MT83576 US

First Named Inventor:

Yumiko KATO

COMPLETE IF KNOWN

Application Number:

To Be Assigned

Filing Date:

Herewith

Art Unit:

Examiner Name:

☒ Declaration
Submitted
With Initial
Filing
(37 CFR 1.63)

☐ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

☐ Supplemental
Declaration
(37 CFR 1.67)

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

BROADCAST RECEIVING METHOD, BROADCAST RECEIVING SYSTEM,
RECORDING MEDIUM, AND PROGRAM

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

December 26, 2003

PCT/JP03/17015

☒ was filed on (MM/DD/YYYY) _____ as United States Application or PCT International Application Number _____

and was amended on (MM/DD/YYYY) _____ (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
2003-007442	JAPAN	January 15, 2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet attached hereto.

Declaration/Power Of Attorney for Utility or Design Patent Application (continued)

I hereby appoint:

☒ Practitioners at Customer Number 52473

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Direct all correspondence to:

☒ Practitioners Customer Number listed above; OR☒ Correspondence Address BelowName: Rainer PrestiaAddress: P. O. Box 980City: Valley ForgeState: PAZip: 19482Country: USATelephone: (610) 407-0700Fax: (610) 407-0701

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A Petition has been filed for this unsigned inventor.

Given Name (first and middle (if any))

Family Name or Surname

YumikoKATOInventor's Signature Date: June 13, 2005Residence: OsakaState: Country: JAPANCitizenship: Japanese

Mailing Address:

Mailing Address: 8-10, Kunimatsucho, Neyagawa-shiCity: OsakaState: Zip: 572-0016Country: JAPAN☒ Additional inventors are listed on the next page.

Declaration/Power Of Attorney for Utility or Design Patent Application (continued)

Name of Second Inventor:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor.	
Given Name (first and middle (if any))		Family Name or Surname	
Takahiro		KAMAI	
Inventor's Signature <u>Takhi Kmai</u>		Date: <u>June 13, 2005</u>	
Residence: <u>Kyoto</u>	State:	Country: <u>Japan</u>	Citizenship: <u>Japanese</u>
Mailing Address: <u>6-2-2, Kizugawada, Kizucho, Sorakugun</u>			
Mailing Address:			
City: <u>Kyoto</u>	State:	Zip: <u>619-0225</u>	Country: <u>JAPAN</u>
Name of Third Inventor:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor.	
Given Name (first and middle (if any))		Family Name or Surname	
Hideyuki		YOSHIDA	
Inventor's Signature <u>Hideyuki Yoshida</u>		Date: <u>June 13, 2005</u>	
Residence: <u>Kyoto</u>	State:	Country: <u>Japan</u>	Citizenship: <u>Japanese</u>
Mailing Address: <u>16-2, Osumi-semedani, Kyotanabe-shi</u>			
Mailing Address:			
City: <u>Kyoto</u>	State:	Zip: <u>610-0343</u>	Country: <u>JAPAN</u>
Name of Fourth Inventor:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor.	
Given Name (first and middle (if any))		Family Name or Surname	
Yoshifumi		HIROSE	
Inventor's Signature <u>Yoshifumi Hirose</u>		Date: <u>June 13, 2005</u>	
Residence: <u>Nara</u>	State:	Country: <u>Japan</u>	Citizenship: <u>Japanese</u>
Mailing Address: <u>575-9, Higashiboujou-cho, Kashihara-shi</u>			
Mailing Address:			
City: <u>Nara</u>	State:	Zip: <u>634-0835</u>	Country: <u>JAPAN</u>
<input type="checkbox"/> Additional inventors are listed on Supplemental Sheet(s).			